



Filing ID #10026968

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. David P. Joyce  
**Status:** Member  
**State/District:** OH14

## FILING INFORMATION

**Filing Type:** Annual Report  
**Filing Year:** 2018  
**Filing Date:** 06/13/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
David P. Joyce QSST Trust ⇒ AT SIX AMG GW&K US Small Cap Growth [MF]		\$50,001 - \$100,000	Dividends	\$1 - \$200	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ CISGX Touchstone Small Cap Growth [EF]		\$15,001 - \$50,000	None		<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ FDRXX Fidelity Government Cash Reserves [GS]		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ FFRHX Fidelity Floating Rate High Income Fund [GS]		\$1,001 - \$15,000	Interest	\$1 - \$200	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ Invesco Dynamic Building & Construction ETF (PKB) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]		\$100,001 - \$250,000	Dividends	\$201 - \$1,000	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
David P. Joyce QSST Trust ⇒ iShares Russell 2000 ETF (IWM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ ODVYX Oppenheimer Developing Markets Fund [MF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ SPDR MidCap Trust Series I (MDY) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ SPDR S&P Bank ETF (KBE) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
David P. Joyce Trust ⇒ 40054L2M5 GS FinCorp zero Coupon 9/25/18 [CS]		\$50,001 - \$100,000	None		<input type="checkbox"/>
DESCRIPTION: zero coupon bond					
David P. Joyce Trust ⇒ ABMIX AMG Manager Fair Pointe Midcap Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ FDRXX Fidelity Government Cash Reserves [GS]		\$50,001 - \$100,000	Interest	\$201 - \$1,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ FFRHX Fidelity Floating Rate High Income Fund [GS]		\$100,001 - \$250,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ HAINX Harbor International Institutional Fund [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ Invesco Dynamic Building & Construction ETF (PKB) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
David P. Joyce Trust ⇒ iShares Russell 1000 Growth ETF (IWF) [ST]		\$100,001 - \$250,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ iShares Russell 2000 ETF (IWM) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ iShares Russell Midcap Growth ETF (IWP) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ iShares Russell Mid-cap Value ETF (IWS) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
David P. Joyce Trust ⇒		\$100,001 -	Capital Gains,	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
MAI MANAGED [MF]		\$250,000	Dividends	\$2,500	
David P. Joyce Trust ⇒ OPPENHEIMER DEVELOPING MARKETS [MF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ PowerShares Emerging Markets Sovereign Debt Portfolio (PCY) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Formerly known as PowerShares Global					
David P. Joyce Trust ⇒ SPDR Bloomberg Barclays High Yield Bond ETF (JNK) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ SPDR S&P Emerging Europe ETF (GUR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1 - \$200	<input type="checkbox"/>
David P. Joyce Trust ⇒ SPDR Select Sector Fund - Industrial (XLI) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ SPDR Select Sector Fund - Technology (XLK) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ TEMPLETON GLOBAL [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ Touchstone Sands Cap Growth CISGX [MF]		None	None		<input type="checkbox"/>
David P. Joyce Trust ⇒ Vanguard Div Appreciation ETF - DNQ (VIG) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ Vanguard Growth ETF - DNQ (VUG) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ Vanguard Small-Cap Growth ETF - DNQ (VBK) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ Vanguard Small-Cap Value ETF - DNQ (VBR) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒ Vanguard Value ETF - DNQ (VTV) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
David P. Joyce Trust ⇒		\$100,001 -	Capital Gains,	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
VANGUARD WINDSOR [MF]		\$250,000	Dividends	\$2,500	
DPJ & KAJ joint account ⇒ 60 MONTH NOTE AT 5% [DO]		\$15,001 - \$50,000	Interest	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Michael Arra Arrahair 139 Bell St. Chagrin Falls, OH 44022					
DPJ & KAJ joint account ⇒ 9652 Music Street [RP]		None	Capital Gains	None	<input type="checkbox"/>
LOCATION: Geauga, OH, US					
DPJ & KAJ joint account ⇒ EFA Shares MSCI EAFE Index Fund [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DPJ & KAJ joint account ⇒ FDRXX Fidelity Government Cash Reserves [BA]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input type="checkbox"/>
DPJ & KAJ joint account ⇒ Guggenheim S&P 500 Equal Weight Healthcare ETF (RYH) [ST]		None	None		<input type="checkbox"/>
DPJ & KAJ joint account ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DPJ & KAJ joint account ⇒ iShares MSCI EAFE ETF (EFA) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DPJ & KAJ joint account ⇒ iShares MSCI EAFE ETF (EFA) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	<input type="checkbox"/>
DPJ & KAJ joint account ⇒ IWM Shares Russell 2000 ETF [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DPJ & KAJ joint account ⇒ MDY Spyder S&P 400 Mid Cap Fund [EF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DPJ & KAJ joint account ⇒ MLPI UBS AG Jersey BRH [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DPJ & KAJ joint account ⇒ Ohio Public Employee Retirement System [PE]		Undetermined	Tax-Deferred		<input type="checkbox"/>
DESCRIPTION: fund jointly held with right of survivorship					
DPJ & KAJ joint account ⇒ PowerShares Dynamic Build & Construction (PKB) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
DPJ & KAJ joint account ⇒ QQQ Powershares QQQ Fund [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ SCZ Ishares EAFE Small Cap ETF [EF]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ SPDR MidCap Trust Series I (MDY) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ SPDR MidCap Trust Series I (MDY) [ST]		None	None		<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ SPDR S&P Bank ETF (KBE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ SPY Spyder S&P 500 ETF Trust [EF]		\$100,001 - \$250,000	Capital Gains, Dividends	\$2,501 - \$5,000	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ UBS AG ETN (MLPI) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]		\$15,001 - \$50,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ VWO Vanguard International Index Fund [EF]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ Xtrackers MSCI EAFE Hedged Equity ETF (DBEF) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input checked="" type="checkbox"/>
Kelly A. Joyce IRA ⇒ Fidelity Freedom 2030 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Kelly A. Joyce UH 401k ⇒ Vanguard Target Retirement 2030 [MF]		\$50,001 - \$100,000	Tax-Deferred		<input checked="" type="checkbox"/>
Rita M. Joyce IRA ⇒ FDRXX Fidelity government cash reserves [GS]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Rita M. Joyce IRA ⇒ FFRHX Fidelity Floating Rate High Income [GS]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>
Rita M. Joyce IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input checked="" type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Rita M. Joyce IRA ⇒ iShares MSCI Emerging Index Fund (EEM) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rita M. Joyce IRA ⇒ iShares Russell 2000 Growth ETF (IWO) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rita M. Joyce IRA ⇒ iShares Russell 2000 Value ETF (IWN) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rita M. Joyce IRA ⇒ JPMorgan Chase Capital XVI JP Morgan Alerian MLP ETN (AMJ) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rita M. Joyce IRA ⇒ MAI MANAGED VOLUME [MF]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rita M. Joyce IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF (JNK) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rita M. Joyce IRA ⇒ SPDR MidCap Trust Series I (MDY) [ST]		\$50,001 - \$100,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rita M. Joyce IRA ⇒ Touchstone Sands Cap Growth CISGX [MF]		None	None		<input type="checkbox"/>
Rollover ⇒ Adobe Systems Incorporated (ADBE) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ Alphabet Inc. - Class C Capital Stock (GOOG) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ Amazon.com, Inc. (AMZN) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Rollover ⇒ American Tower Corporation (AMT) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ AMETEK, Inc. (AME) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ Apple Inc. (AAPL) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒		\$15,001 - \$50,000	Capital Gains,	\$1,001 -	<input type="checkbox"/>

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
BKRB Berkshire Hathaway Inc. [MF]			Dividends	\$2,500	
Rollover ⇒ Boeing Company (BA) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ Citigroup, Inc. (C) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ Cognizant Technology Solutions Corporation - Class A (CTSH) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ Comcast Corporation - Class A (CMCSA) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$201 - \$1,000	
Rollover ⇒ Costco Wholesale Corporation (COST) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ Dell Technologies Inc. Class V (DVMT) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$201 - \$1,000	
Rollover ⇒ Discovery Communications, Inc. - Series A (DISCA) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ General Electric Company (GE) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ Intercontinental Exchange Inc. (ICE) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ iShares Nasdaq Biotechnology Index Fund (IBB) [ST]		None	None		
Rollover ⇒ Johnson & Johnson (JNJ) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ JP Morgan Chase & Co. (JPM) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	
Rollover ⇒ Kinder Morgan, Inc. (KMI) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	
Rollover ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > \$1,000?
Rollover ⇒ MetLife, Inc. (MET) [ST]		None	None		<input type="checkbox"/>
Rollover ⇒ Nike, Inc. (NKE) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Rollover ⇒ Occidental Petroleum Corporation (OXY) [ST]		None	None		<input type="checkbox"/>
Rollover ⇒ Procter & Gamble Company (PG) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ SPDR Series Trust SPDR S&P Biotech ETF (XBI) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ SRRIX Stoneridge ReInsurance Risk Premium Fund [PS]		\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
DESCRIPTION: Stoneridge Reinsurance risk premium interval					
Rollover ⇒ The Priceline Group Inc. (PCLN) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ TransCanada Corporation (TRP) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ United Parcel Service, Inc. (UPS) [ST]		\$1,001 - \$15,000	Dividends	\$1 - \$200	<input type="checkbox"/>
Rollover ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		\$1,001 - \$15,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ Visa Inc. (V) [ST]		\$15,001 - \$50,000	Capital Gains, Dividends	\$1,001 - \$2,500	<input type="checkbox"/>
Rollover ⇒ Whiting Petroleum Corporation (WLL) [ST]		None	None		<input type="checkbox"/>

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE B: TRANSACTIONS

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
David P. Joyce QSST Trust ⇒ Fidelity Limited Term Municipal (fstfx) [AB]		12/11/2018	P	\$100,001 - \$250,000	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ Golub Capital BDC, Inc. (GBDC) [ST]		12/21/2018	P	\$15,001 - \$50,000	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ Invesco Dynamic Building & Construction ETF (PKB) [ST]		03/29/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
David P. Joyce QSST Trust ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		03/29/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ Aston/Fairpointe Mid Cap 1 fund [AB]		12/21/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ Fidelity High Income Fund [AB]		12/11/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Mutual fund					
David P. Joyce Trust ⇒ Fidelity Limited Term Municipal Inc (fstfx) [AB]		12/11/2018	P	\$100,001 - \$250,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ GS S&P 500 Buffered Equity fund [OT]		03/23/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
LOCATION: US					
DESCRIPTION: Mutual fund					
David P. Joyce Trust ⇒ Harbor International (hainx) [AB]		12/11/2018	P	\$15,001 - \$50,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ Harbor International HAINX [AB]		12/11/2018	S	\$100,001 - \$250,000	<input type="checkbox"/>
DESCRIPTION: Mutual funds					
David P. Joyce Trust ⇒ Invesco Dynamic Building & Construction ETF (PKB) [ST]		12/11/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ Invesco Dynamic Building & Construction ETF (PKB) [ST]		03/29/2018	P	\$1,001 - \$15,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ Invesco Emerging Markets Sovereign Debt ETF (PCY) [ST]		12/11/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
David P. Joyce Trust ⇒		12/21/2018	S	\$100,001 -	<input type="checkbox"/>

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
iShares Russell 2000 ETF (IWM) [ST]				\$250,000	
David P. Joyce Trust ⇒ iShares Russell 2000 ETF (IWM) [ST]	David P. Joyce Trust	03/29/2018	P	\$50,001 - \$100,000	
David P. Joyce Trust ⇒ MAI Managed Volatility Fund (maipx) [AB]	David P. Joyce Trust	12/11/2018	P	\$100,001 - \$250,000	
David P. Joyce Trust ⇒ MAI Managed Volatility Fund (maipx) [AB]	David P. Joyce Trust	12/14/2018	P	\$1,001 - \$15,000	
David P. Joyce Trust ⇒ MAI Managed Volatility Fund MAIPX [HN]	David P. Joyce Trust	03/29/2018	S	\$100,001 - \$250,000	<input checked="" type="checkbox"/>
David P. Joyce Trust ⇒ Oppenheimer Developing Markets (odvyx) [AB]	David P. Joyce Trust	03/29/2018	P	\$15,001 - \$50,000	
David P. Joyce Trust ⇒ SPDR Bloomberg Barclays High Yield Bond ETF (JNK) [ST]	David P. Joyce Trust	12/11/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
David P. Joyce Trust ⇒ SPDR S&P Bank ETF (KBE) [ST]	David P. Joyce Trust	03/29/2018	P	\$1,001 - \$15,000	
David P. Joyce Trust ⇒ Templeton Global Bond [AB]	David P. Joyce Trust	12/11/2018	S	\$50,001 - \$100,000	<input type="checkbox"/>
DESCRIPTION: Global bond fund					
David P. Joyce Trust ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [ST]	David P. Joyce Trust	12/11/2018	P	\$100,001 - \$250,000	
David P. Joyce Trust ⇒ Vanguard/Windsor II Admiral (vwnax) [AB]	David P. Joyce Trust	06/15/2018	P	\$1,001 - \$15,000	
David P. Joyce Trust ⇒ Vanguard/Windsor II Admiral (vwnax) [AB]	David P. Joyce Trust	12/18/2018	P	\$1,001 - \$15,000	
DPJ & KAJ joint account ⇒ SPDR MidCap Trust Series I (MDY) [ST]	DPJ & KAJ joint account	09/5/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
DPJ & KAJ joint account ⇒ SPDR S&P 500 (SPY) [ST]	DPJ & KAJ joint account	10/26/2018	P	\$15,001 - \$50,000	
DPJ & KAJ joint account ⇒ Vanguard FTSE Developed Markets ETF (VEA) [ST]	DPJ & KAJ joint account	01/16/2018	P	\$1,001 - \$15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > \$200?
Rita M. Joyce IRA ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		03/29/2018	P	\$50,001 - \$100,000	
Rita M. Joyce IRA ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		12/11/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Rita M. Joyce IRA ⇒ iShares MSCI EAFE ETF (EFA) [ST]		03/29/2018	P	\$1,001 - \$15,000	
Rita M. Joyce IRA ⇒ iShares Russell 2000 Growth ETF (IWO) [ST]		12/11/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rita M. Joyce IRA ⇒ JPMorgan Chase Capital XVI JP Morgan Alerian MLP ETN (AMJ) [ST]		03/29/2018	P	\$1,001 - \$15,000	
Rita M. Joyce IRA ⇒ MAI MANAGED VOLATILITY (maipx) [AB]		03/29/2018	S	\$50,001 - \$100,000	<input checked="" type="checkbox"/>
Rita M. Joyce IRA ⇒ MAI Managed Volatility Fund (maipx) [AB]		12/11/2018	P	\$50,001 - \$100,000	
Rita M. Joyce IRA ⇒ SPDR Bloomberg Barclays High Yield Bond ETF (JNK) [ST]		06/6/2018	S	\$15,001 - \$50,000	<input type="checkbox"/>
Rita M. Joyce IRA ⇒ Vanguard Short-Term Corporate Bond ETF (VCSH) [ST]		12/11/2018	P	\$15,001 - \$50,000	
Rollover ⇒ BlackRock, Inc. (BLK) [ST]		03/29/2018	P	\$15,001 - \$50,000	
Rollover ⇒ Discovery, Inc. - Series A (DISCA) [ST]		03/29/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover ⇒ Invesco QQQ Trust, Series 1 (QQQ) [ST]		03/29/2018	P	\$15,001 - \$50,000	
Rollover ⇒ Merck & Company, Inc. Common Stock (MRK) [ST]		03/29/2018	S	\$1,001 - \$15,000	<input type="checkbox"/>
Rollover ⇒ Nike, Inc. (NKE) [ST]		03/29/2018	P	\$1,001 - \$15,000	

<b>Asset</b>	<b>Owner</b>	<b>Date</b>	<b>Tx. Type</b>	<b>Amount</b>	<b>Cap. Gains &gt; \$200?</b>
Rollover ⇒ Stone Ridge Reinsurance Fund [AB]		08/17/2018	S	\$15,001 - \$50,000	<input checked="" type="checkbox"/>
Rollover ⇒ UnitedHealth Group Incorporated Common Stock (UNH) [ST]		03/29/2018	P	\$1,001 - \$15,000	

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

#### **SCHEDULE C: EARNED INCOME**

<b>Source</b>	<b>Type</b>	<b>Amount</b>
University Hospital System	spouse salary	N/A
Rita M. Joyce IRA	Inheritance	N/A
OPERS	Pension	\$60,000.00

#### **SCHEDULE D: LIABILITIES**

<b>Owner</b>	<b>Creditor</b>	<b>Date Incurred</b>	<b>Type</b>	<b>Amount of Liability</b>
JT	Cardinal Community Credit Union	10/2016	Mortgage on primary residence	\$500,001 - \$1,000,000

#### **SCHEDULE E: POSITIONS**

None disclosed.

#### **SCHEDULE F: AGREEMENTS**

<b>Date</b>	<b>Parties To</b>	<b>Terms of Agreement</b>
January 1980	OPERS and myself	Pension annuity

#### **SCHEDULE G: GIFTS**

None disclosed.

#### **SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS**

Trip Details					Inclusions		
Source	Start Date	End Date	Itinerary	Days at Own Exp.	Lodging?	Food?	Family?
The Ripon Society and Franklin Center	11/7/2018	11/13/2018	Cleveland - Paris, France - Washington, D.C.	1	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## SCHEDULE A AND B ASSET CLASS DETAILS

- David P. Joyce QSST Trust
- David P. Joyce Trust
- DPJ & KAJ joint account  
LOCATION: US
- Kelly A. Joyce IRA
- Kelly A. Joyce UH 401k
- Rita M. Joyce IRA
- Rollover

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

Yes  No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

Yes  No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

Yes  No

## CERTIFICATION AND SIGNATURE

I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. David P. Joyce , 06/13/2019